ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 1 - MISSION NEED STATEMENT (MNS)

References: (a) DoD Directive 5000.1, "Defense Acquisition,"
15 Mar 96 (NOTAL)
(b) DoD Regulation 5000.2-R, "Mandatory Procedures
for Major Defense Acquisition Programs (MDAPs)
and Major Automated Information System (MAIS)
Acquisition Program," 15 Mar 96 (NOTAL)
(c) DoD Directive 8000.1, "Defense Information
Management (IM) Program," 27 Oct 92 (NOTAL)
(d) SECNAVINST 5420.188D, "Program Decision
Process," 31 Oct 95 (NOTAL)

1.1 Procedures

1.1.1 Preparation, Review, and Submission

The appropriate IT functional area point of contact (POC)
shall ensure preparation of the MNS, initially identifying the
mission deficiency, the authority for the MNS establishment, and
the current organizational and operational environment, in
accordance with reference (a); reference (b), paragraph 2.3; and
reference (c). The MNS shall be coordinated with the resource
sponsor. The MNS shall be validated/approved by the user or
user's representative. The IT functional area POC shall submit
the MNS to the MDA, through CNO/CMC (CG, MCCDC), or designee, or
through other appropriate Department of the Navy chain of
command, as part of the mandatory milestone information for the
initial milestone. For C4I IT systems, the MNS shall be
processed in accordance enclosure (7), appendix II, annex B,
section 1, and annex A, section 1. The MNS for non-C4I IT
systems shall be processed in accordance with enclosure (7),
appendix II, annex B, section 1.

1.2 Responsibilities

1. The IT functional area POC is responsible for ensuring
that, from a functional business perspective, a proper
description of the mission deficiency and
justification for exploring alternative solutions is
provided. This shall be done at the time of
development, prior to the initial milestone decision,
and shall be repeated at each subsequent milestone.
The MNS shall be prioritized against other automation
efforts in the functional area. The IT functional
area POC shall establish joint potential and confirm
that the requirements defined in reference (c) have
been met. See the DoD Deskbook (DON Section) for
discretionary information.

2. The MNS for C4I IT systems shall be processed by the

resource sponsor in accordance with enclosure (7), appendix II, annex B, section 1, and annex A, section 1. The MNS for non-C4I IT systems shall be processed by the resource sponsor in accordance with enclosure (7), appendix II, annex B, section 1. The resource sponsor shall review the MNS prior to the initial milestone and at each subsequent milestone.

3. The PM shall:

a. Coordinate with ASN(RD&A) or designee to determine acquisition category (ACAT) in accordance with enclosure (1), paragraph 1.3.7, and enclosure (7), appendix II, annex B, section 6.

b. Develop a briefing, as appropriate, for the Navy Program Decision Meeting as described in reference (d).

ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 2 - ANALYSIS OF ALTERNATIVES

References: (a) DoD Directive 5000.1, "Defense Acquisition,"
15 Mar 96 (NOTAL)

(b) DoD Regulation 5000.2-R, "Mandatory Procedures
for Major Defense Acquisition Programs (MDAPs)
and Major Automated Information System (MAIS)
Acquisition Programs," 15 Mar 96 (NOTAL)

1.1 Procedures

1.1.1 Preparation, Review, and Submission

The IT functional area point of contact (POC) shall be
responsible for the preparation of the analysis of alternatives.
The analysis of alternatives may be performed by an independent
activity. The analysis of alternatives shall be submitted at the
program initiation milestone. The analysis of alternatives shall
be tailored commensurate with the scope, criticality, size and
complexity of the program. See reference (a); reference (b),
paragraph 2.4; and the DoD Deskbook (DON Section) for additional
information.

1.2 Responsibilities

1. The IT functional area POC shall:

a. Develop the analysis of alternatives which
identifies, describes, compares, and evaluates the alternative
technical and acquisition solutions (including the status quo)
considered to meet the IT mission need as documented in the MNS.

b. Ensure that the analysis of alternatives presents
the alternatives considered (all potential options), the costs
for each alternative, any conversion considerations, and a
strategy for avoiding obsolescence.

2. The MDA shall review the analysis of alternatives as
part of the mandatory milestone information provided
at the program initiation milestone.

3. ASN(RD&A) or designee and the resource sponsor shall
approve the analysis of alternatives final report, if
required, for IT ACAT IA programs. The MDA and the
resource sponsor shall approve the analysis of
alternatives final report, if required, for IT ACAT
III and IVT programs.

ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 3 - OPERATIONAL REQUIREMENTS DOCUMENT

References: (a) DoD Directive 5000.1, "Defense Acquisition,"
15 Mar 96 (NOTAL)
(b) DoD Regulation 5000.2-R, "Mandatory Procedures
for Major Defense Acquisition Programs (MDAPs)
and Major Automated Information System (MAIS)
Acquisition Programs," 15 Mar 96 (NOTAL)
(c) SECNAVINST 5420.188D, "Program Decision
Process," 31 Oct 95 (NOTAL)

1.1 Procedures

Reference (a) and reference (b), paragraph 2.3, shall be
used to develop operational requirements documents (ORDs) for
information technology (IT) programs. Reference (b) provides the
mandatory format for the ORD. The operational performance
parameters in the ORD, prepared for the program initiation
milestone, shall be tailored and reflect system level performance
capabilities. For C4I IT systems, the ORD shall be processed in
accordance with enclosure (7), appendix II, annex B, section 3,
and annex A, section 3. The ORD for non-C4I IT systems shall be
processed in accordance with enclosure (7), appendix II, annex B,
section 3.

1.1.1 Preparation, Review, and Submission

The functional area point of contact (POC) shall submit
the ORD. The resource sponsor shall endorse the ORD. The ORD
shall be validated/approved by the user or user's representative.
ORD requirements shall flow from and be established subsequent to
the analysis of alternatives.

1.2 Responsibilities

1. The IT functional area POC shall:

   a. Submit the ORD in coordination with the resource
sponsor.

   b. Ensure that the performance parameters, specified
in terms of thresholds and objectives, satisfy the mission need.

   c. Ensure that key performance parameters in the ORD
are identified in such a way that they may be extracted and
included in the acquisition program baseline.

2. The ORD for C4I IT systems shall be processed by the
resource sponsor in accordance with enclosure (7),
appendix II, annex B, section 3, and annex A,
section 3. The ORD for non-C4I IT systems shall be

processed by the resource sponsor in accordance with enclosure (7), appendix II, annex B, section 3.

3. The resource sponsor shall:

a. Coordinate with the IT functional area POC in developing the ORD.

b. Endorse the ORD, certifying the intent to fund the program.

4. The user or user's representative shall validate and approve the ORD.

5. The PM shall:

a. Coordinate with ASN(RD&A) or designee to determine acquisition category (ACAT) in accordance with enclosure (1), paragraph 1.3.7, and enclosure (7), appendix II, annex B, section 6.

b. Develop a briefing, as appropriate, for the Navy Program Decision Meeting as described in reference (c).

6. The Milestone Decision Authority shall review the ORD as part of the mandatory information submitted at milestones.

OPERATIONAL REQUIREMENTS DOCUMENT
(For Endorsement and Approval) FOR
[insert program long title]
(POTENTIAL ACAT ___)

_____
SUBMITTED BY:

_____   _____
(Functional Area POC)   (DATE)
_____
ENDORSED BY:

_____   _____
(Resource Sponsor)   (DATE)
_____
VALIDATED/APPROVED BY:

_____   _____
(User or User's Representative)   (DATE)

Copy to:
Milestone Decision Authority

ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 4 - ACQUISITION PROGRAM BASELINES (APBs)/
APB DEVIATIONS

References: (a) DoD Directive 5000.1, "Defense Acquisition,"
15 Mar 96 (NOTAL)
(b) DoD Regulation 5000.2-R, "Mandatory Procedures
for Major Defense Acquisition Programs (MDAPs)
and Major Automated Information System (MAIS)
Acquisition Programs," 15 Mar 96 (NOTAL)
(c) DoD Directive 8000.1, "Defense Information
Management (IM) Program," 27 Oct 92 (NOTAL)

1.1 Procedures

1.1.1 Preparation, Review and Submission

The acquisition program baseline (APB) shall be prepared
by the program manager (PM) in coordination with the user or
user's representative prior to the program initiation milestone,
endorsed by the resource sponsor, CG, MCCDC (for Marine Corps IT
programs), and the IT functional area point of contact (POC), and
shall be reassessed continuously throughout the life of the
program, to include specific updates at subsequent milestones.
See reference (a) and reference (b), paragraph 3.2.2, for
additional implementation requirements for all Department of the
Navy (DON) IT programs.

1.1.2 Approval

The APB shall be submitted to the milestone decision
authority (MDA) for approval as part of mandatory milestone
information provided at program milestone decision meetings.

1.1.3 Deviation Criteria and Reporting

APB thresholds, objectives, and deviation criteria for all
DON IT programs shall be implemented as addressed in
reference (b), paragraphs 2.3 and 3.2.1.

Deviation reporting and baseline revisions shall be done
in accordance with enclosure (6), paragraph 6.2.1.1.

1.2 Responsibilities

1. The PM shall maintain the APB through production/
deployment.

2. The IT functional area POC/user's representative
shall:

a. Ensure key performance parameters from the

Operational Requirements Document are extracted and included in
the APB.

      b. Ensure consistency with principal staff assistants
functional planning and target architecture and with the
requirements of reference (c).

      c. Review and endorse the APB.

    3. The resource sponsor and CG, MCCDC (for Marine Corps
IT programs) shall:

      a. Endorse the APB.

      b. Review and endorse APB revisions.

    4. The MDA shall approve the APB and APB revisions.

ACQUISITION PROGRAM BASELINE FORMAT

CLASSIFICATION

ACQUISITION PROGRAM BASELINE
PROGRAM XXX

With the objective of enhancing program stability and controlling cost growth, we, the undersigned, approve (unless otherwise indicated) this baseline document. Our intent is that the program be managed within the programmatic, schedule, and financial constraints identified. We agree to support, within the charter and authority of our respective official positions, the required funding in the Planning, Programming, and Budgeting System (PPBS).

This baseline document is a summary and does not provide detailed program requirements or content. It does, however, contain key performance, schedule, and cost parameters that are the basis for satisfying an identified mission need. As long as the program is being managed within the framework established by this baseline, in-phase reviews will not be held.

Program Manager Date (All IT ACAT programs)	IT Functional Area POC Date Endorsement (All IT ACAT programs)

Resource Sponsor   Date
Endorsement (All IT ACAT programs)

CMC (CG, MCCDC)   Date
Endorsement (All Marine Corps IT ACAT programs)

Milestone Decision Authority   Date
(IT ACAT IAC, III, and IVT programs)

ASN(RD&A), or designee   Date
(IT ACAT IAM programs)

Assistant Secretary of Defense   Date
    (Command, Control, Communications, and Intelligence)
(ACAT IAM programs)

Derived from:
Declassify on: **CLASSIFICATION**

ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 5 - JROC INTERFACE

1.1 Procedures

IT programs to be presented to the JROC, shall use the procedures contained in enclosure (7), appendix II, annex A, section 5.

ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 6 - ACAT DESIGNATION REQUEST (CONTENT)

1.1 Procedures

1.1.1 Preparation, Review and Submission

Acquisition category (ACAT) designation requests for potential IT ACAT IA programs shall be submitted to the ASN(RD&A) or designee with a copy to Commander, Operational Test and Evaluation Force (COMOPTEVFOR)/Director, Marine Corps Operational Test and Evaluation Activity (MCOTEA). ACAT designation requests for potential IT ACAT III and IVT programs shall be submitted to ASN(RD&A) or designee, Program Executive Officers (PEOs), Systems Command (SYSCOM) Commanders, or Direct Reporting Program Managers (DRPMs) with a copy to COMOPTEVFOR/Director, MCOTEA. The request shall provide the following information:

1. Title of program,

2. Program manager, IT functional area, and resource sponsor points of contact (POCs),

3. Projected costs and funding sources, and relationship to the IT budget,

4. Program description,

5. Relationship to Department of Defense Corporate Information Management initiatives, the DON IT Strategic Plan, and migration and legacy systems,

6. Potential for savings and return on investment,

7. Anticipated use of both developmental and non-developmental IT,

8. Operational test and evaluation requirements,

9. Performance measurements to be used to measure how well the proposed IT program supports agency programs, and

10. Recommended ACAT assignment and milestone decision authority (MDA).

1.1.2 Approval

ASN(RD&A) or designee, PEOs, SYSCOM Commanders, or DRPMs shall assess a recommendation and determine ACAT designation and MDA for IT ACAT III and IVT programs. Potential IT ACAT IA

programs shall be forwarded to ASN(RD&A) or designee for further action.

1.2 **Responsibilities**

1. The potential program manager (PM), or responsible acquisition official, shall initiate the request, coordinate with the IT functional area POC, and provide a copy to COMOPTEVFOR/Director, MCOTEA.

2. The IT functional area POC shall endorse the request.

3. ASN(RD&A) or designee, PEOs, SYSCOM Commanders, or DRPMs shall coordinate with OPTEVFOR/MCOTEA, and designate IT ACAT III and IVT programs. A copy of PEO/SYSCOM Commander/DRPM approved ACAT designations for IT ACAT III and IVT programs shall be forwarded to ASN(RD&A) or designee.

4. ASN(RD&A) or designee shall forward potential ACAT IA designations to ASD(C3I) for designation as ACAT IAM or IAC.

ANNEX B, INFORMATION TECHNOLOGY (IT) PROGRAMS
SECTION 7 - IT FUNCTIONAL AREA POINTS OF CONTACT

The IT functional area points of contact (POCs) are listed by cognizant functional areas. For ACAT IA programs, the responsible IT functional area POCs are at the CNO/CMC, the DON, and the Office of the Secretary of Defense (OSD) principal staff assistant (PSA) levels. For IT ACAT III and IV programs, the responsible IT functional area POC is at the CNO/CMC level, unless none is designated for that functional area, then it is the DON POC.

Logistics

OSD:
   DUSD(L)
DON:
   ASN(RD&A)
   POC: Special Asst for Logistics
     Action delegated to:
     CNO: N4
     CMC: DC/S I&L
CNO:
   N4
   POC: N432
CMC:
   DC/S I&L

Material Management

OSD:
   DUSD(L)/ADUSD(LBS&TD)
DON:
   ASN(RD&A)
   POC: Special Asst for Logistics
     Action delegated to:
     CNO: N4
     CMC: DC/S I&L
   CNO:
     N41
     POC: N413
CMC:
   DC/S I&L, Dir., Plans, Policy, Strat Mob Division

Depot Maintenance (DM)

OSD:
   Primary: DUSD(L)/ADUSD(Maintenance Policy)
   Alt: Joint Logistics Systems Center,
   POC: Director for Depot Maintenance

DON:
    ASN(RD&A)
    POC: Special Asst for Logistics
        Action delegated to:
        CNO: N4 and N8 (for aviation depot maintenance)
        CMC: DC/S I&L
CNO:
    Primary: N43
    Secondary: N881
    POC: N432
CMC:
    DC/S I&L, Dir., Plans, Policy, Strat Mob Division

Organizational Maintenance

Areas covered: Shipboard and squadron-level
maintenance, as well as operations conducted at deployed
intermediate maintenance facilities.

OSD:
    DUSD(L)/ADUSD(Maintenance Policy)
DON:
    ASN(RD&A)
    POC: Special Asst for Logistics
        Action delegated to:
        CNO: N4 (surface maintenance) and N881 (for aviation
        maintenance)
        CMC: DC/S I&L
CNO:
    Primary: N43
    Secondary: N881
    POC: N431F
CMC:
    DC/S I&L, Dir., Plans, Policy, Strat Mob Division

Distribution

Areas: Distribution Systems, including Warehousing,
Receiving, Storing, Packaging,
Issuing, and Salvage.

OSD:
    DUSD(L)/ADUSD(LBS&TD)
    Joint Logistics Systems Center (JLSC/RMP)
DON:
    ASN(RD&A)
    POC: Special Asst for Logistics
        Action delegated to:
        CNO: N4
        CMC: DC/S I&L
CNO:
    N41
    POC: N413

CMC:
      DC/S I&L, Dir., Plans, Policy, Strat Mob Division
      POC: LPS-1, I&L, HQMC

Transportation

      Areas: Planning and operations concerned with movement
      of people and things through or over the sea, air, and
      land. Involves monitoring of assets used for operations
      (such as ships and cranes), as well as the information
      systems that support scheduling and billing.

OSD:
      DUSD(L)/ADUSD(LBS&TD)
JCS:
      US Transportation Command
      POC: Director, Global Transportation Network Program
      Management Office
DON:
      ASN(RD&A)
      POC: Special Asst for Logistics
          Action delegated to:
          CNO: N4
          CMC: DC/S I&L
CNO:
      N4
      POC: N423D
      Alt: N41, N413T
            N42 (Sealift only), N421
CMC:
      DC/S I&L, Dir. Facilities and Services Division

JCALS/JEDMICS

OSD:
      DUSD(L)/Director, CALS & EDI
DON:
      ASN(RD&A) with delegation to:
      CNO: N4
      CMC: DC/S I&L
CNO:
      N43
      POC: N432
JEDMICS PMO: NAVSUP
JCALS/EC/EDI PMO:
      POC:
      JCALS:
      EC/EDI:
CMC:
      DC/S I&L, Dir., Plans, Policy, Strat Mob Division
      POC: LPS

Environmental Security

Areas: Cleanup, Compliance, Conservation, Pollution
Prevention, ES technology, Safety, Occupational Health,
Fire Training, Pest Management, Explosive Safety, and
Installations.

OSD:
   DUSD(Environmental Security)
DON:
   ASN(I&E)
   POC: Executive Assistant

Safety

DON:
   DASN(E&S)

Operational (including Aviation, Explosives, Afloat, &
Systems Safety):

CNO:
   N09F
CMC:
   Safety Division

Occupational/OSH:

CNO:
   N45
CMC:
   Safety Division

Shore programs (including Motor vehicle, Off-
duty/Recreation):

CNO:
   N09F

Occupational Health

DON:
   DASN(E&S)
CNO:
   N45
CMC:
   Safety Division

Environmental Compliance/Installation Restoration/Pollution Prevention

DON:
   DASN(E&S)

CNO:
    N45
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Natural Resource Conservation (including Endangered Species Protection, Wetlands Preservation, Forestry, Agricultural Outleasing, Outreach to Communities)

DON:
    DASN(E&S)
CNO:
    N45
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Environmental Planning (Historic Facility/Archeological Heritage Preservation and NEPA)

DON:
    DASN(E&S)
CNO:
    N44
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Cultural Resources

DON:
    DASN(I&F)
CNO:
    N44
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Economic Security

    Areas: Installations (Military Construction, Family Housing/BQ, and Base Operations support), Industrial Base, Production Resources, Economic Adjustment, Base Closure and Realignment, Dual Use Technology, Manufacturing and International Programs (collaboration in weapons programs).

OSD:
    ASD(Economic Security)
DON:
    ASN(I&E)
CNO:
    N46
    POC: N46B

CMC:
    DC/S I&L, Dir, Facilities and Services Div.

Facility Construction   (Including all Facilities but Family
    Housing/BQ)

CNO:
    N44
    POC: N445
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Family Housing   (Includes planning, construction,
    operation, maintenance, and disposal of family housing)

CNO:
    N46
    POC: N463
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Facility Planning

CNO:
    N44
    POC: N441
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Real Property Maintenance and Management   (Includes major
    repair projects, minor construction, maintenance of BQs,
    energy conservation; excludes Family Housing)

CNO:
    N44
    POC: N442
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Base Closure

CNO:
    N44
    POC: N444
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Other Base Operating Support (Base administration, to
    include operation of BQs, real property services
    (utilities, leases, other engineering support), base
    security, fire protection, base transportation)

CNO:
    N46
    POC: N46B
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.

Other

CNO:
    N46
    POC: N46B
CMC:
    DC/S I&L, Dep Dir, Facilities and Services Div.
    POC: CMC(LF)

Procurement

    Areas: Establishment of policy, procedures and support
    for contract pricing, procurement, contract management,
    procurement oversight and business integrity.
OSD:
    Dir, Defense Procurement
DON:
    OASN(RD&A), Deputy, Acquisition and Business Management,
    POC: Procurement CIM Council rep
CNO:
    Not applicable
CMC:
    DC/S I&L
    POC: Procurement CIM Council, LB

Science and Technology

    Areas: Science & Technology management, policy &
    oversight; laboratory policy & oversight; management
    guidance and execution of Basic Research, Exploratory
    Development and Advanced Technology Development

OSD:
    DDR&E,
DON:
    OASN(RD&A), Chief of Naval Research
    POC: ONR-03
    CIM POC: ONR-92
CNO:

        N091
        POC: N911
    CMC:
        Marine Corps Systems Command
        POC: AWT

Test and Evaluation

        Areas: Developmental and Operational Test and
        Evaluation of systems to determine if design thresholds
        are met and if resources are sufficient to proceed with
        full scale production.

Developmental

OSD:
    Director, T&E
DON:
    ASN(RD&A)
    CIM POC: N912
    DASN(AIR)
    DASN(SHIPS)
    DASN(MUW)
    DASN(C4I/EW/SPACE)
    POC for C3:
    POC for AIS:
For Software Executive Official matters:

Most action delegated to PEOs/DRPMs/SYSCOMs:
    PEO(T)
    PEO(A)
    PEO(CU)
    PEO(JSF)
    PEO(USW)
    PEO(SUB)
    PEO(TAD)
    PEO(MIW)
    PEO(CLA)
    PEO(SC)
    PEO(SCS)
    DRPM(SSP)
    DRPM(AEGIS)
    DRPM(AAA)
    COMNAVAIRSYSCOM
    COMNAVSEASYSCOM
    COMNAVSUPSYSCOM
    COMSPAWARSYSCOM
    COMMARCORSYSCOM
CNO: Not applicable
CMC: Not applicable

Operational

OSD:
    Director, Operational T&E
DON:
    ASN(RD&A)
Most action delegated to:
    CNO: N091
    CMC: MCOTEA
CNO:
    N091
    POC: N912
CMC:
    MCOTEA
    POC: MCOTEA

System Acquisition Management

   Areas: Development and/or procurement of systems
   satisfying requirements established by CNO/CMC; ensuring
   that operational requirements are transformed into
   executable research, development and acquisition
   programs.

OSD:
    Director, API
DON:
    OASN(RD&A), Deputy, Acquisition and Business Management
CNO: Not applicable
CMC: Not applicable

Finance

OSD:
    OSD(C)
DON:
    ASN(FM&C)

Finance/Budget

   Areas: Accounting, Reporting, Disbursing, Budget
   Formulation, Budget Execution

OSD:
    OSD(C)
DON:
    ASN(FM&C)
    Accounting POC:
    Budgeting POC: NCBGS
CNO: Not applicable

CMC: Not applicable

Planning and Programming

Areas: Planning and Programming effort related to development of CNO's Program Objectives Memorandum; ship and aircraft inventories.

OSD:
   Dir., Program Analysis and Evaluation
DON:
   Dir., DON Program Information Center
   POC: Deputy Director
CNO:
   N80
   Programming POC:
   N804J
   Modeling & Simulation POC: N812
CMC:
   DC/S P&R

Civilian Personnel

Areas: Civilian Human Resources Management to include: Manpower, Staffing, Classification, Training, Employee Relations, Labor Relations, Compensation, Equal Employment Opportunity, and Information Systems

OSD:
   USD(P&R)
DON:
   ASN(M&RA)
   DASN(CPP/EEO)
   Dir, OCPM
CNO: Not applicable
CMC:
   DC/S M&RA
   POC: Dir MI, M&RA, HQMC

Military Personnel

Areas: Active Duty Manpower, Recruiting and Accession, Personnel Support, Military Personnel Functions, Total Force Management, Training

Manpower, Personnel, Recruiting

OSD:
   USD(P&R)
   POC: Principal Deputy

DON:
    ASN(M&RA)
CNO:
    N1
    POC: N12
    Alt: N120G
CMC:
    DC/S M&RA
    POC: Dir MI, M&RA, HQMC

Training

OSD:
    USD(P&R)
    POC: Principal Deputy
DON:
    ASN(M&RA)
CNO:
    N7/CNET
    POC: Executive Assistant
CMC:
    Marine Corps Combat Development Center
    POC: T&E

Reserve Affairs

    Area: Reserve Manpower and Personnel; Reserve Component
elements of all other functional areas, including Pay,
Material Management, Mobilization and Deployment,
and so forth.

OSD:
    ASD(Reserve Affairs)
    POC: Principal Deputy
DON:
    ASN(M&RA)
    POC: Staff Dir. Res. Aff.
CNO:
    N095
    POC: Executive Assistant
    N0952, Dir, Legislation & Info Mgt Div.
CMC:
    DC/S M&RA
    POC: Dir MI, M&RA, HQMC

Health

Areas: Theater Health, Health Care Delivery, Health
Care Management, Medical Education, Medical Logistics,
Blood

OSD:
   ASD(Health Affairs)
DON:
   ASN(M&RA)
CNO:
   N093
   POC: Executive Ass't
CMC:
   N093M, Office of Health Services
   POC: HS/MED

Inspector General

Areas: Audits, Investigations, Inspections (Inquiries)

Audits

OSD:
   DODIG, Deputy Inspector General, DoD
   POC: Assistant Inspector General for Audit Policy and
   Oversight
DON:
   Auditor General of the Navy
   POC: Acting Director, Plans and Policy Directorate,
   Naval Audit Service
CNO: Not applicable
CMC: Not applicable

Investigations

Criminal/Felonious:

OSD:
   DODIG, Deputy Inspector General, DoD
   POC: Assistant Inspector General for Criminal
   Investigative Policy and Oversight,
DON:
   Naval Criminal Investigative Service
   POC: Special Agent (Code 23B)
CNO: Not applicable
CMC: Not applicable

Administrative or Non-Felony-Criminal:

OSD:
    DODIG, Deputy Inspector General, DOD
    POC: Assistant Inspector General for Criminal
    Investigative Policy and Oversight,
DON:
    Naval Inspector General
CNO:
    Navy Inspector General
CMC:
    Deputy Naval Inspector General for Marine Corps Matters/
    Inspector General of the Marine Corps

Inspections

OSD:
    DODIG, Deputy Inspector General, DoD
    POC: Assistant Inspector General for Inspections,
    DODIG,
DON:
    Naval Inspector General
CNO:
    Navy Inspector General
CMC:
    Deputy Naval Inspector General for Marine Corps Matters/
    Inspector General of the Marine Corps

C3

    Areas: Command, Control, Communications, and Computers
    (C4); C4I for the Warrior; Global Command and Control
    System (GCCS); Defense Information Infrastructure (DII)

Command & Control

OSD:
    ASD(C3I)/DASD(C3)
DON:
    ASD(C3I)/DASN(C4I/EW/SPACE)
CNO:
    N6
    POC: N65
CMC:
    AC/S C4I
    POC: Dir. Standards and Architecture Division

Communications

OSD:
    ASD(C3I)/DASD(C3)

DON:
    ASD(C3I)/DASN(C4I/EW/SPACE)
CNO:
    N6
    POC: N65
CMC:
    AC/S C4I
    POC: Dir. Standards and Architecture Division

Information Management/Infrastructure Management

    Areas: Defense Information Infrastructure, Records
    Management, Directives Management, Information
    Management Policy, Information Technology (IT),
    Infrastructure Management, General Administrative

Defense Information Infrastructure

    Area: Information technology products (multi-purpose
    hardware, software, communications) which form the
    backbone of IT resources within the DoD.

OSD:
    ASD(C3I)/DASD(IM)
    POC: Executive Assistant
DON:
    ASN(RD&A)/DON CIO
CNO:
    N6
    N6B
    POC: N65
CMC:
    AC/S C4I

INFOSEC

    Areas: COMSEC, COMPUSEC, Information Security,
    Acquisition System Protection, Physical Security

OSD:
    ASD(C3I)/DASD(CI&SCM)
DON:
    ASN(RD&A)/DASN(C4I/EW/SPACE)
    DON CIO
CNO:
    N6
    N6B
    POC: N65
CMC:
    AC/S C4I

<u>Other</u>

OSD:
 ASD(C3I)/DASD(IM)
 POC: Executive Assistant
DON:
 ASN(RD&A)/DASN(C4I/EW/SPACE)
 POC: Principal Assistant for IRM/DON CIO
CNO:
 N6
 POC: N65
CMC:
 AC/S C4I
 POC: Dir. Standards and Architecture Division

Intelligence

 Areas: Intelligence preparation of the battlefield,
 Indications and Warning, Imagery Dissemination, Bomb
 Damage Assessment (BDA); Mapping, Charting and Geodesy
 (MC&G)

OSD:
 ASD(C3I)/DASD(I)
 POC: Community Management Staff

For assistance with MC&G:

Defense Mapping Agency:
 POC: DD/TI
 Navy Liaison
DON:
 ASN(RD&A))/DASN(C4I/EW/SPACE)
 POC: Ass't for Intelligence

All but MC&G:

CNO:
 N2
 POC: N202F
 Alt: ONI/ONI-712
CMC:
 AC/S C4I
 POC: Dir., Intel

MC&G:

CNO:
 N096
 POC: N961C

CMC:
    AC/S C4I
    POC: HQMIC

Meteorology and Oceanography

    Areas: Meteorology and Oceanography (METOC);
    Astrometry; Precise Time and Time Interval (PTTI)

OSD:
    DDR&E
DON:
    ASN(RD&A)
    For 6.1, 6.2, and 6.3 R&D:
        Chief of Naval Research
        POC: ONR-32
    For 6.4, 6.5, 6.6, 6.7 R&D: TBD
CNO:
    For Operations and 6.4 R&D (link pin to 6.5, 6.6, 6.7 in
    OPNAV):
        N096
        POC: N0961B
CMC:
    For METOC only: AC/S Aviation
        POC: HQMC, ASL44

Security

    Area: Operational Security

OSD:
    ASD(C3I)/DASD(I)/Director, Counterintelligence and
    Security Programs,
DON:
    ASN(RD&A)/DASN(C4I/EW/SPACE)
CNO:
    N51
    POC: N513
    Alt:
CMC:
    AC/S C4I

External Liaison

Public Affairs

OSD:
    ATSD(PA)

DON:
    CHINFO
CNO:
    N09C
CMC:
    HQMC (Dir of Public Affairs)

Legislative Affairs

OSD:
    ATSD (Legislation)
DON:
    CLA
CNO:
    CLA
CMC:
    Legislative Assistant

Legal

Military

    Area: Military Personnel Law, Military Justice,
    International Law, Admiralty Law, Environmental Law,
    Legal Assistance

OSD:
    USD(P&R)/DASD (Requirements & Resources)
    DoD GC
DON:
    JAG
CNO:
    N09J
CMC:
    Director, Judge Advocate Division, Office of Counsel,

Civilian

    Areas: Commercial Law, Civilian Personnel Law,
    Environmental Law, Fiscal Law, Intellectual Property
    Law, Civil Fraud, Real Estate Law, Bankruptcy Law, CIM
    Law

OSD:
    DoD GC
DON:
    DON GC
CNO: Not applicable
CMC:
    Counsel, OGC

Operational Planning

Areas: Deliberate and crisis action planning.

JCS:
    CJCS
    POCs:
    J-3 (OPS)
    J-4 (LOG)
    J-7 (Plans & Interoperability)
DON:
    Fleet CINCs
    POCs:
    N83 (CINC liaison with OPNAV)
    N83B
    CINCLANTFLT Primary: N312S (Ops)
    Alt: N413 (Log)
    CINCPACFLT:
CNO:
    N3/5
    POCs:
    Primary: N3/5, N312C
    Alt: N4, N423D1
CMC:
    DC/S PP&O for administrative matters concerning
    deliberate and crisis action planning
    POC: Hd Current Oprs Br, PP&O, HQMC

Policy

Areas covered: Country and technology policy; security
associated with international agreements, technology
security, and international disclosure (including
international visits, publication releases, training)

OSD:
    USD(Policy)
    POC: Dir., for Policy Automation
DON:
    ASN(RD&A)/Dir., Navy International Programs Office,
CNO:
    N3/5
    N525
CMC:
    Primary: HQMC, Code POS

Atomic Energy

Area: Nuclear, biological, and chemical oversight, safety, cooperative threat reduction, onsite inspections, counter-proliferation, training, propulsion, and environmental protection.

Nuclear Weaponry

Area: NBC Warfare, Weapons safety, counter-proliferation, cooperative threat reduction, exercise/incident, inspection, treaty monitoring, nuclear stockpile, training

OSD:
    ATSD(AE)
    CIM POC: DNA
DON:
    ASN(RD&A)/Dir, Navy International Programs Office

Cooperative Threat Reduction, Counter-proliferation, NBC Warfare, Treaty Monitoring, Nuclear Stockpile:

CNO:
    N51
    POC: N514C

Weapons safety, exercise/incident:

CNO:
    N411
    POC: N411F2

Counter-proliferation, Treaty Monitoring, Inspection only:

CMC:
    POC: National Plans Br., PP&O, HQMC

Nuclear Propulsion

OSD:
    USD(A&T)
DON:
    ASN(RD&A)/DASN(Ships)
CNO:
    N00N, Naval Nuclear Propulsion Program
CMC: Not applicable